



**Broadridge**<sup>®</sup>

The Broadridge Foundation &  
The Corporate Social Responsibility Program



<http://www.broadridge.com>

Social Investment One Solution at a Time

# Introduction to the Broadridge Foundation and Our Commitment to the Corporate Social Responsibility Program

We enable the Financial Services Industry to achieve superior levels of performance through our passion to deliver extraordinary value to our clients, shareholders and associates. Now we are expanding our efforts to include a wider circle of stakeholders: our communities and our planet. It starts with and ends with our values:

TRUSTWORTHY • RESPECTFUL • ENGAGED • ACCOUNTABLE • CLIENT CENTRIC

## Our Commitment to the Communities Where We Work and Live

Broadridge strives to be an engaged stakeholder in the communities in which we work and live. For this reason, we seek to make social investments with lasting impact for future generations, making our communities a better place to live.

### The Broadridge Foundation

As a company we have a long history of supporting our communities, and we are proud to announce the next chapter in those efforts with the creation of the Broadridge Foundation. One of the Foundation's key relationships is with the MV Foundation, a non-profit organization inspired by the Magsaysay Award winner, Dr. Shantha Sinha. The MV Foundation has developed a unique and powerful approach to deal with the problems of child labor and illiteracy in India, by enrolling children in Residential Bridge Camps (RBC). RBCs provide a learning platform for children who have been involved in bonded and non-bonded labor activities and equip them with the knowledge and skills to integrate them into formal schools of learning.

In the US, the Foundation also supports various charitable causes such as the Make-A-Wish Foundation, the American Cancer Society, the American Heart Association, the United Way and select others. Additionally, our associates can contribute to their communities through our Matching Gift Program. From time to time, the Foundation may evaluate and support other philanthropic endeavors that embody our core values and that have shown an outstanding track record of solid results in their respective fields. That is how, through the Foundation, we can make a social investment one solution at a time.



*Girls enrolled at Broadridge's Residential Bridge Camp, Hyderabad, India.*

### Volunteering

We encourage our associates to volunteer in their communities and many of our associates do so. In our Park Avenue, New York and Edgewood, New York offices our associates help mentor at local grade schools; at our Journal Square, New Jersey office our associates work with Habitat for Humanity; at our London office our associates volunteer with The East London Business Alliance by engaging in

projects that cater to local, disadvantaged and disabled children and adults; at our Canada office our associates participate in fundraising challenges through the Inside Ride Project to support families and children with cancer and our India office associates volunteer at the local RBC. Almost every location reaches out to the community.



*Broadridge associates build horse jumps for disadvantaged children, London.*

## Our Commitment to the Environment

Broadridge has established the Broadridge Environmental Committee (“BEC”) made up of representatives from multiple departments throughout the organization. This “Green” committee was created with a focus on reducing Broadridge’s impact on our environment.



In line with our carbon footprint initiative, during last year’s proxy season, we used innovative technology to reduce the total volume of mailed proxy packages by 54.1 %, which translates to over 160 million fewer envelopes and printed reports. We have helped many of our clients make great progress in reducing their carbon footprints through e-delivery and e-voting by reducing hardcopy proxy distribution.

Increasingly, Broadridge is moving toward using environmentally and socially responsible resources to support our operations by initiating the use of paper products certified by the Forestry Stewardship Council (“FSC”). We are committed to further decreasing our carbon footprint through researching, piloting and implementing innovative environmentally friendly solutions.

## Our Commitment to an Outstanding Workplace

### Engaged Associates

We believe that creating an engaging culture for our associates will, in turn, drive innovative and exceptional products and services for our clients that will ultimately result in a great return to our stockholders.

Therefore, we continue to work hard to nurture within Broadridge a culture of associates who care about each other, our clients, our stockholders and our communities. One illustration of how we bring that to life is that for over a decade we have participated in the Gallup® Q12 survey and are committed to improving our associate satisfaction scores each year.



*Broadridge associates' winning team of the Inside Ride Project, Canada.*

### A Diverse & Inclusive Environment

Recently we have enhanced our focus on diversity and inclusion. We believe that our success is closely linked with tapping in to the best in each of us and respecting the importance and richness of our individual differences. We now have a Diversity and Inclusion Council that includes corporate officers and other senior leaders from across our organization to ensure that we have the benefit of different perspectives as we make choices about how to continuously improve our culture in order to continue to grow our business.

## Our Commitment to Our Clients and to Responsible Leadership within Our Areas of Expertise

We deliver a broad range of solutions that help our clients better serve their retail and institutional customers across the entire investment lifecycle. Through our expertise and the scale we bring, we have created exceptional results for many industry leaders. We strive to innovate by providing clients the opportunity to be ahead of the curve in offering solutions. For example, we offer companies live Internet proxy voting via the Web for their annual meetings, effectively reducing shareholders’ environmental footprint as an added value benefit that our clients receive from our services. Furthermore, we also work closely with one of our key clients to help fulfill its environmental commitment relating to its supply chain partners by reporting to the Carbon Disclosure Project as a survey respondent. We anticipate participating with more clients in the same or similar endeavors.

Broadridge won “Top Overall Honors” in the 2008 Black Book of Outsourcing® survey for Brokerage Process Service Outsourcing. Broadridge was ranked #1 in 14 of the 18 operational excellence categories surveyed, including #1 for Trade Execution & Clearing Services, #1 for Security & Operations Services and #1 for Document Management & Investor Communications. We will continue our long-standing commitment to adding value for all our stakeholders through developing innovative products and services.



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